

JUDGE BUCHWALD

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

Plaintiff,

SECURITIES INVESTOR PROTECTION
CORPORATION,

-v-

GREAT EASTERN SECURITIES, INC.,

Defendant.

Case No. _____

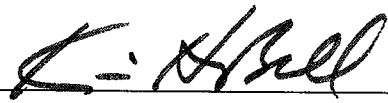
Rule 7.1 Statement

Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for
the Securities Investor Protection Corporation (a private non-governmental party)

certifies that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held.

NONE

Date: August 25, 2008



Signature of Attorney

Attorney Bar Code: KB2260

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION
CORPORATION,

Plaintiff-Applicant,

versus

GREAT EASTERN SECURITIES, INC.,

Defendant.

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CERTIFICATE OF SERVICE

I, Kevin H. Bell, hereby certify that on August 25, 2008, I served by pre-paid Federal Express
a true and correct copy of the Civil Cover Sheet and Rule 7.1 Statement on each of the following:

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